

**MINUTES OF THE MEETING OF
THE INDIANA STATE ETHICS COMMISSION
September 14, 2017**

I. Call to Order

A regular meeting of the State Ethics Commission (“Commission”) was called to order at 10:00 a.m. Members present included James N. Clevenger, Chairperson; Bob Jamison; Daryl Yost; Priscilla Keith, and Peter Nugent. Staff present included Lori Torres, Inspector General; Jennifer Cooper, Ethics Director; Tiffany Mulligan, Chief Legal Counsel; Matthew Savage, Staff Attorney; and Celeste Croft, Legal Assistant, Office of Inspector General.

Others present were Adrienne Brune, Attorney E7/Ethics Officer, State Department of Health; Deana Smith, Attorney E7, State Department of Health; Mark Tidd, Prequalification & Permits Director, Department of Transportation/Ethics Officer; Sarah Kamhi, Deputy General Counsel, Economic Development Corporation; Tammera Glickman, Assistant General Counsel, Department of Administration; David Fleischhacker, Employee Relations Attorney, State Personnel Department; Joan Blackwell, Chief of Staff, Attorney General’s Office; Kendra Leatherman, Legislative Director, Auditor of State; Doug Webber, Chief of Staff, Department of Insurance; Amy Beard, Attorney, Department of Insurance; Stephen Robertson, Commissioner, Department of Insurance; Cathleen Nine-Altevogt, Attorney/Ethics Officer, Department of Insurance; and Tina Korty, former General Counsel, Department of Insurance.

II. Adoption of Agenda and Approval of Minutes

Commissioner Yost moved to adopt the Agenda and Commissioner Keith seconded the motion which passed (5-0). Commissioner Nugent moved to approve the Minutes of the August 10, 2017 Commission Meeting and Commissioner Yost seconded the motion which passed (5-0).

III. Consideration of Post-Employment Waiver

**For Tina Korty, former General Counsel
Presented by Cathleen Nine-Altevogt, Attorney/Ethics Officer
Stephen Robertson, Commissioner
Indiana Department of Insurance**

Attorney/Ethics Officer, Cathleen Nine-Altevogt, presented a post-employment waiver on Tina Korty’s behalf. Ms. Korty previously served General Counsel for the Department. Ms. Korty was before the Commission to request advice and permission to work for Indiana University Health (IUH), a local healthcare system, as Associate General Counsel. The Commission believed that Ms. Korty thoroughly understood the ethics rules, as they related to the previous business relationship between Indiana University Health Risk Retention Group (IHRRG), a subsidiary of IUH, and the State, as Ms. Korty previously reviewed responses to requests for proposals in 2012 that were directly related to IHRRG. After the Commission discussed the

matter, Commissioner Nugent moved to approve the Post-Employment Waiver and Commissioner Keith seconded the motion which passed (5-0).

IV. Consideration of Final Report

**In the Matter of Amanda Alvey/Case Number 2016-08-0170
Jennifer Cooper, State Ethics Director
Office of Inspector General**

Jennifer Cooper, State Ethics Director, presented the Final Report, In the Matter of Amanda Alvey, for the Commission's consideration. Commissioner Yost moved to approve the Final Report In the Matter of Amanda Alvey and Commissioner Jamison seconded the motion, which passed (5-0).

V. Consideration of Final Report

**In the Matter of Leann Walton/Case Number 2016-06-0124
Jennifer Cooper, State Ethics Director
Office of Inspector General**

Jennifer Cooper, State Ethics Director, presented the Final Report, In the Matter of Leann Walton, for the Commission's consideration. Commissioner Keith moved to approve the Final Report In the Matter of Leann Walton and Commissioner Yost seconded the motion, which passed (5-0).

VI. Director's Report

State Ethics Director, Jennifer Cooper, stated that since the last meeting of August 22, 2017, the Office of Inspector General issued 36 informal advisory opinions, the majority of which involved the subjects of ghost employment, conflicts of interest, outside employment, use of state property, post-employment, and gifts.

In addition, Ms. Cooper informed the Commission that Compliance Officer/Staff Attorney, Stephanie Mullaney, was no longer with the Office of Inspector General and that Ms. Mullaney had begun working at the Attorney General's Office, about two weeks prior to the current meeting, as a Deputy General Attorney. Ms. Cooper elaborated, stating that the Office of Inspector General was in the process of hiring a replacement Staff Attorney.

Lastly, Ms. Cooper opened the floor to Inspector General Torres, who presented Commissioner Nugent with a Certificate, thanking him for his multiple years of service as Commissioner. Chairman Clevenger also stated a few kind words regarding Commissioner Nugent's departure. The September 14, 2017 meeting was Commissioner Nugent's last meeting with the Commission, as he has just been appointed a Judge for Johnson Superior Court.

VII. Adjournment

Commissioner Yost moved to adjourn the public meeting of the State Ethics Commission and Commissioner Jamison seconded the motion, which passed (5-0).

The public meeting adjourned at 10:18 a.m.